

IESO Communication on Standards – Initial Ballot Results for Violation Risk Factors (VRFs) for Version 1 Standards

Attached are the results from the initial ballots for the addition of violation risk factors for the Version 1 standards which concluded on February 23. All the ballots achieved quorum but some of the negative comments cast included comments. Hence, these will proceed to a re-circulation ballot before being sent to NERC Board of Trustees (BOT) for final approval.

The IESO had voted in the affirmative on all but two of the standards. The two sets of standards that the IESO did not support include standards belonging to Critical Infrastructure Protection (CIP) and Interconnection Reliability Operations (IRO). The negative votes were cast because the IESO feels that the associated violation risk factors, for some reliability-critical requirements of these standards, are rated lower than should be.

The IESO provides this information to not only support complete understanding but also to support the development of the NERC/ERO standards and processes which are applicable to all North American Entities and Market Participants. Please review and provide your comments and/or concerns if any, either to the IESO or to NERC directly through the ballot pool process. In order to better understand the impacts of these VRFs as these will be used **to determine penalties and sanctions for a violation of the associated requirement**, and share any concerns or comments, a conference call or a meeting group could be facilitated by the IESO if required. Also, please let us know if you require additional information or clarification.

Sent: February 26, 2007 2:59 PM

Subject: Announcement of Initial Ballot Results

This email was sent to the standards List Serve

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Maureen E. Long
Standards ProcessManager



February 26, 2007

TO: REGISTERED BALLOT BODY

Ladies and Gentlemen:

Announcement of Initial Ballot Results

The Standards Committee announces the following initial ballot results:

Initial Ballot Results for Version 1 Violation Risk Factors

The initial ballots for the addition of violation risk factors in the following sets of standards were conducted from February 14–23, 2007. The ballots all achieved a quorum; however, all included some negative ballots with comments. The drafting team will be reviewing comments submitted with the ballots and preparing its consideration of those comments. If the drafting team does not make changes to the risk factors in a set of standards, then that set of risk factors will undergo a recirculation ballot. If the drafting team does make changes to a set of risk factors, then those risk factors will need to be posted for another comment period before re-entering the balloting process. ([Detailed Ballot Results](#))

[Critical Infrastructure Protection](#) (CIP-002-1 through CIP-009-1)

Quorum: 79.69%
Weighted Segment Vote: 90.06%

[Facility Ratings](#) (FAC-008-1 through FAC-014-1)

Quorum: 82.26%
Weighted Segment Vote: 88.29%

[Balancing and Interchange](#) (BAL-006-1, INT-001-2, INT-003-2, INT-004-1 through INT-010-1)

Quorum: 79.26%
Weighted Segment Vote: 94.10%

[Interconnection Reliability Operations](#) (IRO-014-1 through IRO-016-1)

Quorum: 78.72%
Weighted Segment Vote: 85.45%

[Modeling](#) (MOD-013-1, MOD-016-1, MOD-024-1, MOD-025-1)

Quorum: 80.43%
Weighted Segment Vote: 90.09%

Protection and Control (PRC-002-1, PRC-018-1, PRC-021-1, PRC-022-1)

Quorum: 81.42%

Weighted Segment Vote: 92.53%

Emergency Operations, Voltage Control and Transmission Operations (TOP-002-2, VAR-001-1, VAR-002-2, EOP-005-1)

Quorum: 80.11%

Weighted Segment Vote: 85.75%

Intent to Forward Approved Standards to NERC Board of Trustees for Adoption

Any of the above sets of violation risk factors that are approved by their respective ballot pools will be forwarded to the NERC Board of Trustees for adoption.

Standards Development Process

The [Reliability Standards Development Procedure](#) contains all the procedures governing the standards development process. The success of the NERC standards development process depends on stakeholder participation. We extend our thanks to all those who participate. If you have any questions, please contact me at 813-468-5998 or maureen.long@nerc.net.

Sincerely,

Maureen E. Long

cc: Registered Ballot Body Registered Users
Standards Mailing List
NERC Roster